

# Advanced Legal Procedures Training 2023 Virtual Series









# 2023 Advanced Training Series



Feb. 2, 4 - 5:30pm

Bias, Predisposition and Conflicts
Atty. Rich Roberts, Halloran & Sage



Feb. 9, 4 - 5:30pm

Running a Meeting and Making a Decision Atty. Ken Slater, Halloran & Sage



Feb. 16, 4 - 5:30pm

Fair & Affordable Housing
Atty. Mark Branse, Halloran & Sage











# **Center for Land Use Education and Research**



Water



Land Use & Climate Resiliency



Geospatial Tools & Training



**Food Systems** 



STEM Education & Local Conservation

https://clear.uconn.edu



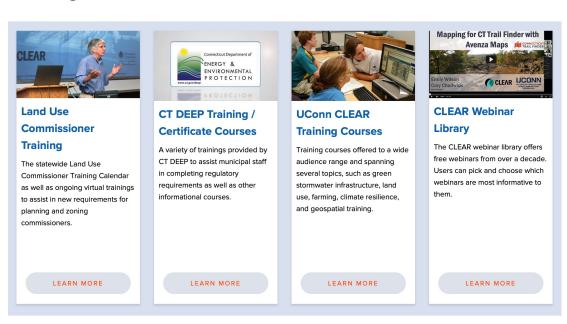




# **Land Use Commissioner Training**



#### **Training**





https://clear.uconn.edu/training







# Please take note

- Training is <u>NOT</u> legal advice
- Discussion is encouraged but beating a dead horse is not
- Interactive polling ahead
- Use the chat for questions or raise your virtual hand
- Do not use the chat for personal or political conversations
- Stay muted unless called upon
- Recording on https://clear.uconn.edu/lua
- Have fun









# On what commission do you serve?

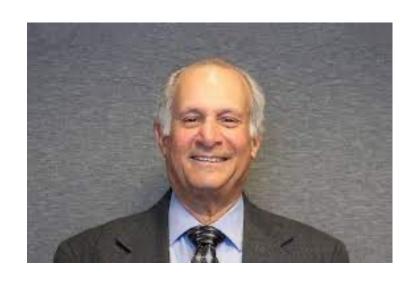
- 1.Planning
- 2. Zoning
- 3. Planning and Zoning
- 4.ZBA
- 5. Inland Wetlands and Watercourses
- 6.Conservation
- 7.0ther
- 8.n/a







# Fair & Affordable Housing



Mark K. Branse Halloran & Sage LLP













# Why do we need affordable housing?



**GrowingTogetherCT.org** 





@GrowTogetherCT

#rightthingsmartthing #growtogetherct











CT State NAACP
Chapter



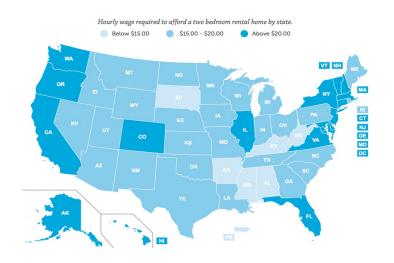




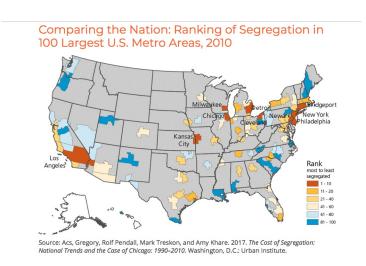


# Connecticut faces a dual housing crisis

We are one of the most segregated states in the country.



We have some of the highest housing costs in the country.













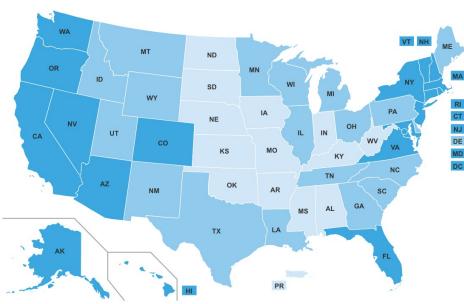
# **AFFORDABLE HOUSING CRISIS**

CT has the 10<sup>th</sup> highest housing wage in the nation – A family would have to work 79 hours a week at minimum wage to afford a two-bedroom apartment.

#### How Much Do You Need to Earn to Afford a Modest Apartment in Your State?

Hourly wage required to afford a two bedroom rental home by state.





Slides courtesy of:









#### WHAT IS AFFORDABLE HOUSING?

Households earning 80% of median income paying no more than 30% towards housing costs.

	Households	Cost Burdened	Severely Cost	
		(paying more than	Burdened	
		than 30% of income on	(paying more than 50%	
		housing)	of income on housing)	
Households	1,354,715	474,040	220,695	
Below 80% AMI	570,270	376,850	208,130	
Below 50% AMI	386,670	293,940	188,010	

**Need: Low Income and Cost Burdened Households** 





214,745

Below 30% AMI



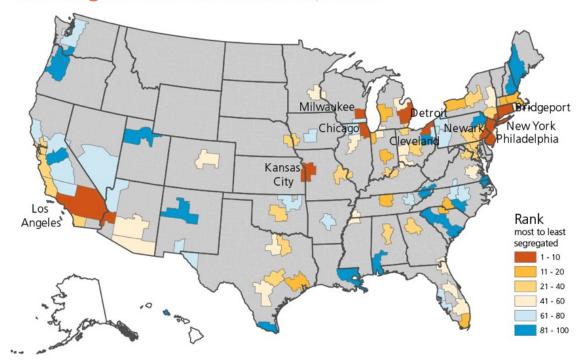
167,495



135,740

#### CONNECTICUT IS ONE OF THE MOST SEGREGATED STATES

Comparing the Nation: Ranking of Segregation in 100 Largest U.S. Metro Areas, 2010



Source: Acs, Gregory, Rolf Pendall, Mark Treskon, and Amy Khare. 2017. *The Cost of Segregation: National Trends and the Case of Chicago: 1990–2010.* Washington, D.C.: Urban Institute.











# **History of Segregation: Racial Covenants**

#### AGREEMENT CONCERNING BUILDING REST

#### SECOND PIECE

(a) All lots as shown on the maps herein mentioned: lots and no ctructure shall be errected on any residential private garage for mot more than two cars, nor shall any garage, barn or other out-building exected on the premis-porarily or permanently, nor shall any residence of a ter-morarily or permanently nor shall any residence of a ter-

(ifferent race employed by an owner or tenant.

(f) No building shall be erected, placed or sitered or permitted to remain on any building plot in this sub-division until the external design and location thereof have been approved in writing by the cald light local moses incorporated, its successor or saligns, hereins ter designated and displaced moses incorporated, its successor or saligns, hereins term design or location within thirty (30 days after such plans have been substitute to it, then such approval will not be required. The completion of construction, alteration or placement of a structure for thirty (30 days after such plans have been such that the confidence of the confidence

#### AGREEMENT CONCERNING BUILDING RESTRICTIONS

WHEREAS, HIGH LEDGE HOMES, INCORPORATED, a corporation organized and existing by wirtue of and under the laws of the State of Connecticut, with its principal place of business in the Town of West Hartford, County of Hartford and State of Connecticut, is the owner of a certain tract of land situated in the Town of West Hartford, and known and described as follows:

(e) No persons of any race except the white race shall use or occupy any building on (2) to building shall be located on any vestionable and with the state of the provided by the state of the state of the provided by the state of the state of the state of the provided by the state of the state of the state of the provided by the state of the state different race employed by an owner or tenant.

Slides courtesy of:



High Ledge Homes in West Hartford (1940). See On the Line: How Schooling, Housing, and Civil Rights Shaped Hartford and its Suburbs.







# THE ROLE OF ZONING

Euclid v. Ambler Realty Co.

In 1926 the U.S. Supreme Court endorsed local zoning power to design zoning schemes that designated zones for certain types of buildings and dictated restrictions on lot and building sizes.

The court upheld a municipality's right to use zoning to block multifamily development.













# **ZONING AND RACE**

- B. Racial and Socioeconomic Segregation
  - i. Historical Background: Euclid in Context

The hallmark of Euclidean zoning is its insistence on dividing the landscape into zones segregated by use and building type:51 single-family residential, multi-family residential, commercial, light industrial, and so on. While separation of uses was "[i]nitially . . . a European idea intended to remove factories from residential neighborhoods,"52 it was also almost immediately understood as a means of excluding poor and minority populations from middle- and upper-class neighborhoods. As Judge Westenhaver put it when Euclid I was heard in District Court, "[t]he blighting of property values and the congesting of population, whenever the colored or certain foreign races invade a residential section, are so well known as to be within the judicial cognizance."53 While the Supreme Court spoke in more

Slides courtesy of:

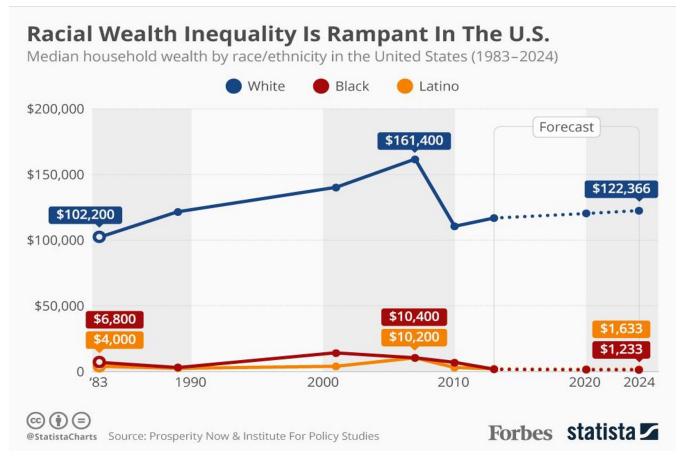


Source: Hall, Eliza, Divide and Sprawl, Decline and Fall: A Comparative Critique of Euclidian Zoning, pg. 923, University of Pittsburg Law Review (2007). Available at http://lawreview.law.pitt.edu/issues/68/68.4/Hall.pdf.





#### **INCOME & WEALTH DISPARITIES**



Slides courtesy of:



Forbes, September 2017, available at <a href="https://www.forbes.com/sites/niallmccarthy/2017/09/14/racial-wealth-">https://www.forbes.com/sites/niallmccarthy/2017/09/14/racial-wealth-</a> inequality-in-the-u-s-is-rampant-infographic/#1baff41734e8.







#### CONNECTION BETWEEN SEGREGATION AND AFFORDABLE HOUSING

### Percentage of Households at less than 30% of State Median Income

(Adjusted for Household Size)

White, Non-Hispanic	10.19%
Black, Non-Hispanic	26.77%
Asian, Non-Hispanic	13.04%
Other Race, Non-Hispanic	21.92%
Hispanic of any Race	30.71



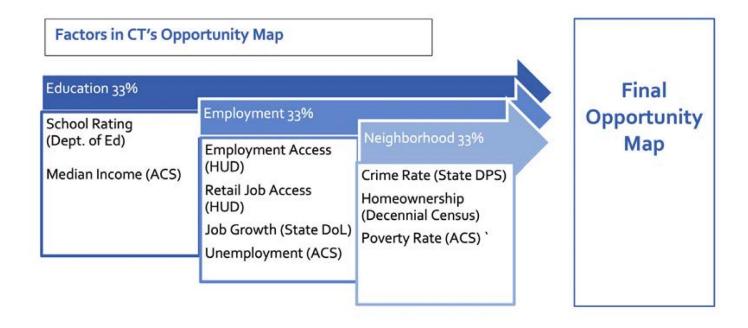






# Slides courtesy of: **Growing Together** Connecticut

# **Mapping Opportunity**









# **Mapping Opportunity**

#### Index

Very High

High

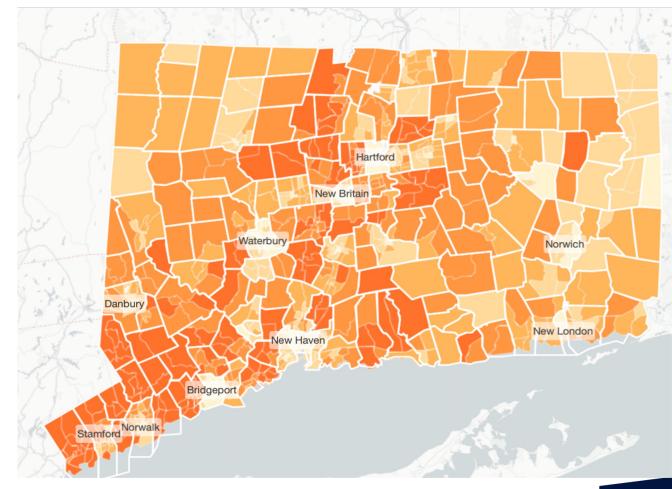
Moderate

Low

Very Low

Slides courtesy of:

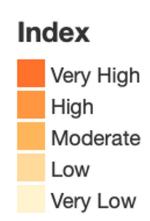


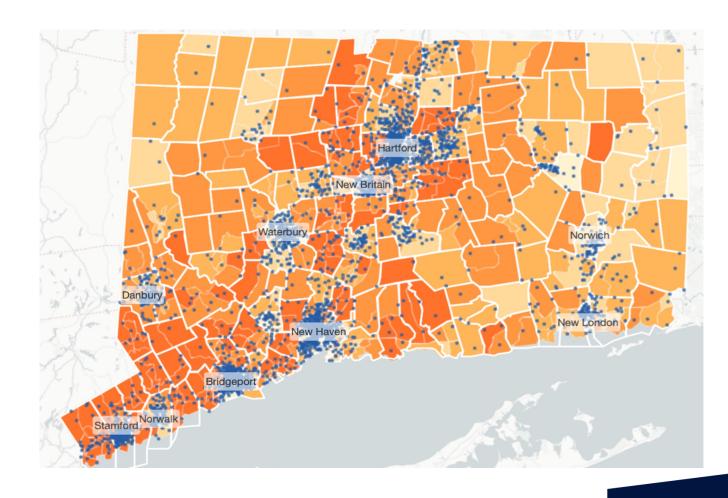






#### **OPPORTUNITY AND RACE**





Slides courtesy of:





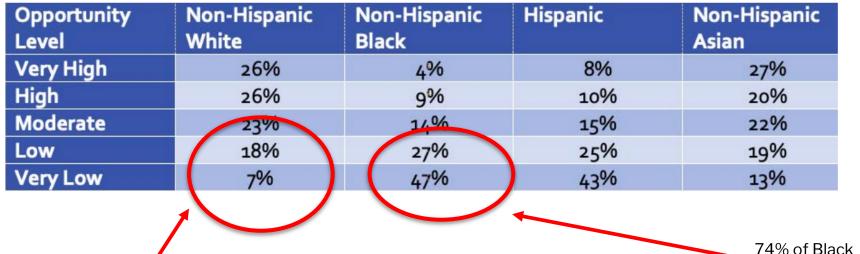


COLLEGE OF AGRICULTURE, HEALTH AND NATURAL RESOURCES



# **Opportunity by Race and Ethnicity in CT**

% of People by Race & Ethnicity



Slides courtesy of:



75% of white households live outside of low and very low opportunity areas.

74% of Black households live in low and very low opportunity areas.







# OPPORTUNITY BY RACE AND ETHNICITY IN CT

Opportunity Level	Non-Hispanic White	Non-Hispanic Black	Hispanic	Non-Hispanic Asian
Very Low	7%	47%	43%	13%







3% of the land area of the state



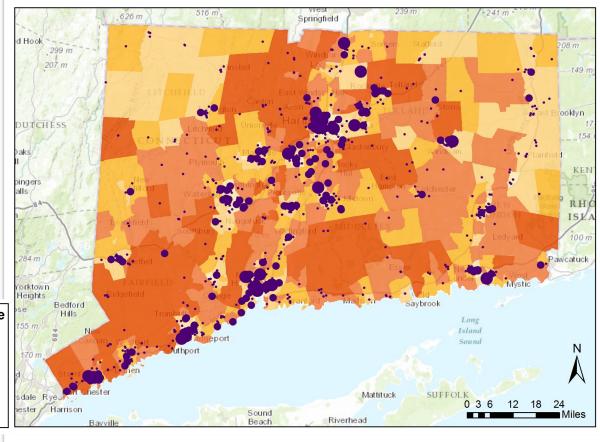




# SUBSIDIZED HOUSING REFLECTS GOVERNMENT POLICIES

The *Preservation List* includes over 93,000 units of housing, it is incomplete and, to the best of our knowledge, not regularly updated.















# **EXISTING LAWS GOVERNING ZONING**











# **Fair Housing Laws**

The federal and state Fair Housing Acts prohibit government policies that have a disparate negative impact on Black and Latino families that is not justified by strong non-discriminatory reasons. Such families are disproportionately lower income and therefore have a disproportionate need for rental, multi-family and affordable housing.

Slides courtesy of:









# Zoning Enabling Act, CGS Sec. 8-2 & Planning Mandates, CGS Sec. 8-23

These laws require that towns:

- "encourage the development of ... multifamily dwellings" to meet the regional affordable housing need and
- "promote housing choice and economic diversity, including housing for both low- and moderate-income households."









# Connecticut Constitution, Article First, Sec. 20

"No person shall be denied the equal protection of the law nor be subjected to segregation or discrimination in the exercise or enjoyment of his civil or political rights because of religion, race, color, ancestry or national origin."











# What is an "affordable housing development?"

Per Conn. Gen. Stats. §8-30g(1):

A proposed housing development which is (A) assisted housing, OR (B) a set-aside development.

#### **Assisted housing:**

- housing which is receiving, or will receive, financial assistance under any governmental program for the construction or substantial rehabilitation of low and moderate income housing,
- and any housing occupied by persons receiving rental assistance under chapter 319uu or Section 1437f of Title 42 of the United States Code

#### **Set-aside development:**

- Min. 30% of units sold or rented with covenants that restrict price to 30/80 rule
  - Persons with income 80% or less than median income
  - Rent/sale price no more than 30% of annual income
- Median income is the lesser of:
  - state median
  - area median
  - US HUD amounts
- Restriction must run for min. 40 years







# **Affordability Plan**

Must be submitted to commission with affordability housing application

- Designate the administrator of the plan responsible for enforcing restrictions
- Affirmative fair housing marketing plan
- Sample calculation of max prices or rents for affordable units
- Description of location and sequence of development of affordable units
- Draft rules/restrictions/convenants ensuring affordability
- Conceptual site plan may be required, if seeking zone change
  - Applicant doesn't HAVE to submit zone change, but MAY







# How is a set aside development different?

#### **Typical development**

- 1. Must comply with zoning & subdiv regs
- 2. Burden on applicant to prove compliance by substantial evidence

3. Commission MAY make reasonable changes in bring in compliance

#### Set aside development

- 1. Zoning and subdiv. regs don't apply
- 2. Burden on commission to prove:
  - Decision is necessary to protect substantial public interests
  - Public interests clearly outweigh need for affordable housing
  - Public interests can not be protected by reasonable changes
- 3. Reasonable changes MUST be made







# How is a set aside development different?

#### **Typical development**

- 4. Supposed to state reasons for denial, but don't have to
- 5. Court only reviews evidence supporting commission's decision
- 6. Court can sustain appeal, dismiss appeal, or remand to commission
- 7. If application denied or approved with conditions, applicant has 15 days to appeal to Superior Court

#### Set aside development

- 4. Must state reasons for denial supported by sufficient evidence
- 5. Court reviews everything to see if decision supported by record
- 6. Court may revise, modify, remand or reverse commission decision
- 7. If denied or approved with conditions, applicant can file modification to original application within 15 days







# How is a set aside development different?

#### **Typical development**

7. If application denied or approved with conditions, applicant has 15 days to appeal to Superior Court

#### **Set aside Development**

7. If denied or approved with conditions, applicant can appeal OR file modification to original application







# Modification of set aside development proposal

- Substantial modification is not grounds for rejection
- 15 day right to appeal is preserved until decision is made on modification
- Decision on modification due 65 days from date of receipt
  - If need inland wetlands approval too, can be extended 35 days form i/w decision
  - Failure to decide = rejection of modification (NOT auto approval)
- Date of receipt is next scheduled meeting or 35 days, whichever is first
- Public meeting required if original proposal had public meeting







# What towns are subject to 8-30g?

8-30g procedure does NOT apply if 10% of dwelling units in town are:

- Assisted housing (gov't assisted)
- Financed by CT HFA mortgages
- Set aside developments or incentive housing developments
- Mobile home parks or accessory apartments with covenants restricting to 30/80 rule for 10 years
- Mobile homes in resident-owned parks







# What towns are subject to 8-30g?

If 10% of dwelling units don't qualify for those categories, 8-30g applies

- Towns have had over 30 years to "comply"
- Intentionally stacked in favor of developer of affordable housing







# 8-30g Exemptions

- Town is at or above 10% goal
- Set-aside development is in industrial zone that don't allow "residential uses" and is not assisted housing
  - what constitutes residential use? dorms? rectories? hotels?
- Town has moratorium from CT DOH
  - Granted when add 2% affordable housing
  - Lasts 4 years
  - Lasts 5 years if town had a previous moratorium and has more than 20,00 dwelling units
  - Incentivizes progress
  - Moratorium DOES NOT apply if:
    - Assisted housing in which 95% of units are for those with less than 60% median income
    - Assisted housing containing 40 or fewer units
    - Applications filed BEFORE the moratorium went into effect







## Could this be allowed under 8-30g

A developer finds a cheap piece of land in a residential zone and proposes a high-density development with an affordable housing set aside. The site is not part of the town's plan for high density development and is not convenient to transportation infrastructure.

1. Yes

**2.** No







## Alternatives to 8-30g: 8-12m Incentive housing

- Provides state grants (subject to availability of funds) to towns to identify areas for "incentive housing" with minimum allowable densities
  - 6 units/acre for single family detached
  - 10 units/acre for duplex/townhouse
  - 20 units/acre for multifamily
- If town has population > 5,000, may request lower densities if can show infrastructure limitations
  - 4 units/acre for single family detached
  - 6 units/acre for duplex/townhouse
  - 10 units/acre for multifamily
- High density reqs. can make it hard to id locations







## Alternatives to 8-30g: Inclusionary Zoning

#### **CGS 8-2i**

- Authorizes any town with zoning authority to pass inclusionary zoning rules
- Any zoning regulation, requirement, condition, etc. that promotes the development of affordable housing, including:
  - Set aside of reasonable number of housing units
  - Use of density bonuses
  - In lieu payments to affordable housing trust fund
- Town can require a % of development be restricted as affordable
  - Need administrator to qualify buyers/renters of those units







## **Fair Housing**

## From Presentation by Marjorie Shansky, Esq. New Haven

- Both the Federal & State governments have adopted Fair Housing Acts
  - Federal Fair Housing Act, 42 U.S.C. § 3604, adopted in 1968
  - o Americans with Disabilities Act, 42 U.S.C. § 12132, adopted in 1990
  - o Discriminatory Housing Practices, Conn. Gen. Stat. § 46a-64c
  - Conn. Gen. Stat. § 8-3(e)
  - Conn. Gen. Stat. § 17a-227 (group home licensing)
- Purpose is to prevent creation or furtherance of racial segregation or other discrimination in housing







## Fair Housing Act Amendments

Married ADA with FHA in 1996

- Make it unlawful to "discriminate in the sale or rental, or to otherwise make unavailable or deny, a dwelling to any buyer or renter because of a handicap"
- Discrimination includes failure to make reasonable accommodations, 42 U.S.C. § 3604(f)(1)







## Who is protected?

- Race
- Color
- Religion
- Sex
- Familial status
- National origin
- Handicap
- Past drug or alcohol addictions and HIV infections







#### **Protected Persons**

- Persons with qualified disabilities or with handicaps including those:
  - With physical or mental impairments which substantially limit one or more of major life activities
  - With record of having such impairment
  - Who are regarded as having such impairment 42 U.S.C. § 3602(h)







## **Excluded from FHA Coverage**

- Current users of controlled substances
- Sex offenders
- Sellers or manufacturers of controlled substances
- Persons who are a threat to health or safety of others
- Lodging for transients







#### **Americans with Disabilities Act**

"No qualified person with a disability shall, by reason of such disability, be excluded from participation in or be denied the benefits of the services, programs, or activities of a public entity, or be subject to discrimination by such entity" 42 U.S.C. § 12132







## **Recent Changes to ADA**

- "Major Life Activities" extended to include eating, sleeping, thinking, communicating and "operation of a bodily function"
- People who successfully manage their disability are still covered
- Individuals "regarded as disabled" are covered even if there is no impairment of a major life activity







#### **Other Covered Persons**

- Recovering substance abusers
- Do not have to be in recovery program
- No minimum time of being clean or sober
- Families with children (FHA)







#### Regulations and Administration can violate FHAA

- Failure to reasonably accommodate (disability cases only) [this is avoidable]
- Disparate treatment requires facial discrimination and/or evidence of discriminatory intent
- Disparate impact [most claims are here]
- CAN include municipal zoning decisions and enforcement actions







#### **Theories of Discrimination FHAA and ADA**

- Intentional Discrimination
- Disparate Impact
- Refusal to make reasonable accommodation







#### **Intentional Discrimination**

- Discriminatory purpose was a "motivating factor" in decision
- Discrimination need not be purposeful or malicious
- Factors include impact of decision, background, events leading to decision and departure from normal procedures







## **Disparate Impact**

- Facially neutral policies with discriminatory effect when applied
- Significantly adverse or disproportionate impact on a particular group
- If proven, burden shifts to town to show legitimate governmental interest and no less discriminatory alternative available







#### **Reasonable Accommodation**

- Failure to provide results in denial of equal opportunity to enjoy housing of choice
- Town must incur reasonable costs and take modest, affirmative steps to accommodate unless undue hardship or a substantial burden
- Specific request for accommodation must be made
- N/A to "regarded as" persons







#### **ADA: Title II Technical Assistance Manual II**

## Example of reasonable accommodation

- Zoning ordinance has 12 ft set-back from curb. To install ramp to front door of pharmacy, owner must encroach on set-back by 3 ft
- Granting of set-back variance may be a reasonable modification of town policy – or –
- Municipal employee charged with ensuring accessibility may grant requests for "reasonable accommodation"









## **Significant ADA & FHA cases**

- Valley Housing LP v. City of Derby, 802 F.Supp.2d 359 (2011)
- Tsombanidis v. West Haven, 129 F. Supp.2d 136 (D. Conn. 2001), 180 F. Supp.2d 262 (D. Conn. 2001), 208 F. Supp.2d 263 (D. Conn. 2002), 352 F.3d 565 (2d Cir. 2002)
- Connecticut Hospital v. New London, 129 F. Supp.2d 123 (D. Conn. 2001)







## **Fair Housing Act and Zoning**

- Exclusionary zoning practices and rules that operate to exclude persons with psychiatric disabilities are illegal. The ability to live independently and the ability to find suitable housing are "major life activities."
- Examples of illegal discrimination in zoning may include prohibition of group homes in single-family districts; classifying group homes as commercial, medical, or business facilities; inhibiting definition of "family" to include only related individuals, etc.; imposing a quota on the number of group homes; imposing heightened fire safety code or building code requirements.
- Exclusionary zoning, failure to provide for diverse housing needs, exclusionary practices inflating land cost



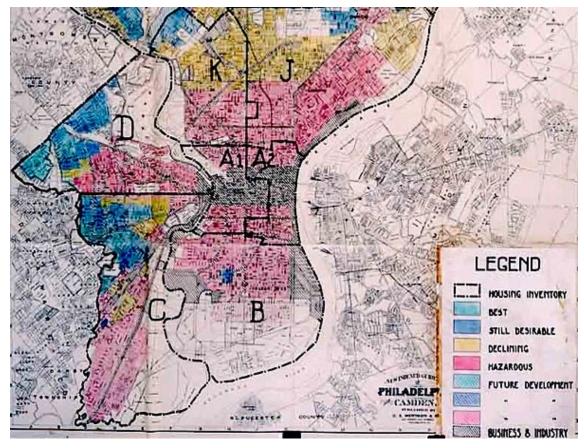






Redlining - We have a history to confront and

correct



1936 Home Owner's Loan Corporation "residential security" map







# Housing Costs in Connecticut are the 10<sup>th</sup> Highest in the Nation (www.pschousing.org)

- According the The Partnership for Strong Communities' Housing in CT 2021 summary, 4,987 publicly supported rental homes in CT are set to have their affordability restrictions expire in the next five years.
- Housing insecurity is widespread; 28% of CT respondents reported they had "slight or no confidence" that they could make next month's rental payment.
- By 2018, 26% of renters spent over 50% of their income on housing costs.

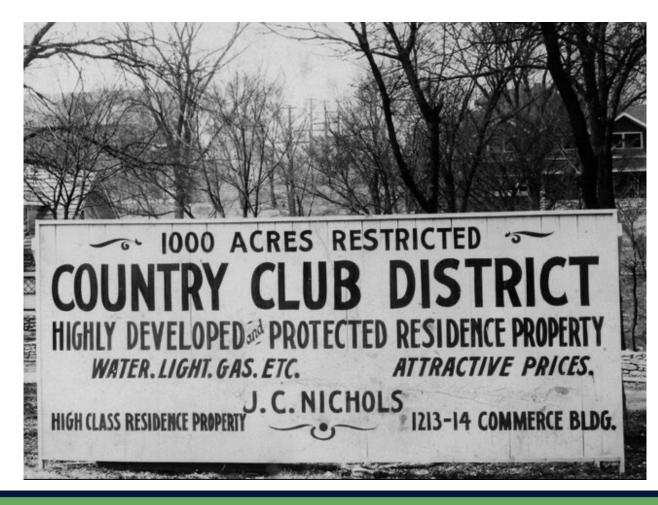








## **Affirmatively Furthering Fair Housing**









# The obligation to address housing opportunities should not come as a surprise

- C.G.S. §8-2: "... Such regulations shall also encourage the development of housing opportunities, including opportunities for multifamily dwellings, consistent with soil types, terrain and infrastructure capacity, for all residents of the municipality and the planning region in which the municipality is located, as designated by the Secretary of the Office of Policy and Management under section 16a-4a. Such regulations shall also promote housing choice and economic diversity in housing, including housing for both low and moderate income households, . . . . "
- See, also, C.G.S. §8-23 re: POCD content







## The Challenge: From Planning to Action

- Conn. Gen. Stat. §8-30j requires all Connecticut municipalities to have an affordable housing plan by Spring, 2022.
- CT DOH and RPA Guidance Resource
- Implement the Plan through Regulation!
- https://www.desegregatect.org/atlas







## There are tools you can use to effect change

- Accessory Dwelling Units
- Increased density additional units/lot
- The Missing Middle
- Tiny Houses
- Manufactured Housing
- Inclusionary Zoning CGS §8-2i
- Overlay zones, density bonuses for affordable units









## **Questions?**







